

FORM PTO-1449

INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.
1821.0040001/EKS/TJSAPPLICATION NO.
09/824,787APPLICANT
Zauderer et al.FILING DATE
April 4, 2001GROUP
1642

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
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FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
<i>amb</i>	AL1	WO 99/33869	07/08/1999	WIPO	—	—	Yes No
<i>↓</i>	AM1	WO 99/37775	07/29/1999	WIPO	—	—	Yes No
<i>amb</i>	AN1	EP 1 033 401 A2	09/06/2000	Europe	—	—	Yes No
<i>amb</i>	AO1	WO 00/55173	09/21/2000	WIPO	—	—	Yes No
<i>amb</i>	AP1	WO 00/55350	09/21/2000	WIPO	—	—	Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

<i>amb</i>	AR	1	NCBI Entrez, GenBank Report, Accession No. T84927, from Hillier, L., et al., entry created 1995.
<i>↓</i>	AS	1	NCBI Entrez, GenBank Report, Accession No. T92052, from Hillier, L., et al., entry created 1995.
<i>↓</i>	AT	1	NCBI Entrez, GenBank Report, Accession No. R22401, from Hillier, L., et al., entry created 1995.

EXAMINER

amb Harris

DATE CONSIDERED

6/30/2004
26/2003

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	APPLICANT Zauderer <i>et al.</i>	
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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
anh	AL2	WO 01/40269 A2	06/07/2001	WIPO	—	—	Yes No
anh	AM2	WO 01/74859 A3 (Search Report Only)	10/11/2001	WIPO	—	—	Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

anh	AR	2	NCBI Entrez, GenBank Report, Accession No. R22331, from Hillier, L., <i>et al.</i> , entry created 1995.
	AS	2	NCBI Entrez, GenBank Report, Accession No. R22332, from Hillier, L., <i>et al.</i> , entry created 1995.
	AT	2	NCBI Entrez, GenBank Report, Accession No. R23369, from Hillier, L., <i>et al.</i> , entry created 1995.

EXAMINER <i>Amtharris</i>	DATE CONSIDERED <i>2/6/2003 6/30/2004</i>
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	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

<i>anh</i>	AR	<u>3</u>	NCBI Entrez, GenBank Report, Accession No. R23139, from Hillier, L., et al., entry created 1995.				
<i>↓</i>	AS	<u>3</u>	NCBI Entrez, GenBank Report, Accession No. R32153, from Hillier, L., et al., entry created 1995.				
<i>↓</i>	AT	<u>3</u>	NCBI Entrez, GenBank Report, Accession No. R32154, from Hillier, L., et al., entry created 1995.				
EXAMINER <i>Am Harris</i>				DATE CONSIDERED <i>6/30/2004</i>			

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	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

amh	AR	<u>4</u>	NCBI Entrez, GenBank Report, Accession No. R63575, from Hillier, L., et al., entry created 1995.
↓	AS	<u>4</u>	NCBI Entrez, GenBank Report, Accession No. R68901, from Hillier, L., et al., entry created 1995.
	AT	<u>4</u>	NCBI Entrez, GenBank Report, Accession No. R68799, from Hillier, L., et al., entry created 1995.
EXAMINER amh			DATE CONSIDERED 6/28/2004

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	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)			
amh	AR	5	NCBI Entrez, GenBank Report, Accession No. R12836, from Hillier, L., et al., entry created 1995.
↓	AS	5	NCBI Entrez, GenBank Report, Accession No. H12779, from Hillier, L., et al., entry created 1995.
↓	AT	5	NCBI Entrez, GenBank Report, Accession No. H56522, from Hillier, L., et al., entry created 1995.

EXAMINER <i>amh</i>	DATE CONSIDERED <i>6/30/2004</i>
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	AL						Yes No
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OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

anh	AR	6	NCBI Entrez, GenBank Report, Accession No. H56704, from Hillier, L., et al., entry created 1995.				
J	AS	6	NCBI Entrez, GenBank Report, Accession No. H95363, from Hillier, L., et al., entry created 1995.				
V	AT	6	NCBI Entrez, GenBank Report, Accession No. H96055, from Hillier, L., et al., entry created 1995.				

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anh	6/30/2004

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	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)			
	AR	2	NCBI Entrez, GenBank Report, Accession No. H96058, from Hillier, L., et al., entry created 1995.
	AS	2	NCBI Entrez, GenBank Report, Accession No. H94832, from Hillier, L., et al., entry created 1995.
	AT	2	NCBI Entrez, GenBank Report, Accession No. H96418, from Hillier, L., et al., entry created 1995.
EXAMINER <i>amh</i>			
DATE CONSIDERED <i>6/30/2004</i>			

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	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

amh	AR	8	NCBI Entrez, GenBank Report, Accession No. H96422, from Hillier, L., et al., entry created 1995.				
J	AS	8	NCBI Entrez, GenBank Report, Accession No. N26715, from Hillier, L., et al., entry created 1995.				
V	AT	8	NCBI Entrez, GenBank Report, Accession No. N27088, from Hillier, L., et al., entry created 1995.				
EXAMINER	amh/adi				DATE CONSIDERED 6/28/2004		

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	AO						Yes No
	AP						Yes No

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amb	AR	2	NCBI Entrez, GenBank Report, Accession No. N32532, from Hillier, L., et al., entry created 1996.				
↓	AS	2	NCBI Entrez, GenBank Report, Accession No. N31910, from Hillier, L., et al., entry created 1996.				
↓	AT	2	NCBI Entrez, GenBank Report, Accession No. N34596, from Hillier, L., et al., entry created 1996.				

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amb Harris

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	AO						Yes No
	AP						Yes No

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<i>amb</i>	AR	<u>10</u>	NCBI Entrez, GenBank Report, Accession No. N42748, from Hillier, L., et al., entry created 1996.
	AS	<u>10</u>	NCBI Entrez, GenBank Report, Accession No. N42693, from Hillier, L., et al., entry created 1996.
	AT	<u>10</u>	NCBI Entrez, GenBank Report, Accession No. AA026773, from Hillier, L., et al., entry created 1996.

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	AO						Yes No
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amh	AR	11	NCBI Entrez, GenBank Report, Accession No. AA026774, from Hillier, L., et al., entry created 1996.
	AS	11	NCBI Entrez, GenBank Report, Accession No. W37432, from Hillier, L., et al., entry created 1996.
	AT	11	NCBI Entrez, GenBank Report, Accession No. W32121, from Hillier, L., et al., entry created 1996.
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	AO						Yes No
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OTHER (Including Author, Title, Date, Pertinent Pages, etc.)			
<i>amb</i>	AR	<u>12</u>	NCBI Entrez, GenBank Report, Accession No. W44577, from Hillier, L., et al., entry created 1996.
	AS	<u>12</u>	NCBI Entrez, GenBank Report, Accession No. W51792, from Hillier, L., et al., entry created 1996.
	AT	<u>12</u>	NCBI Entrez, GenBank Report, Accession No. W57569, from Hillier, L., et al., entry created 1996.

EXAMINER <i>amb Harris</i>	DATE CONSIDERED <i>6/20/2004</i>
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anh	AR	13	NCBI Entrez, GenBank Report, Accession No. W65390, from Hillier, L., et al., entry created 1996.
↓	AS	13	NCBI Entrez, GenBank Report, Accession No. W61294, from Hillier, L., et al., entry created 1996.
↓	AT	13	NCBI Entrez, GenBank Report, Accession No. AA037285, from Hillier, L., et al., entry created 1996.

EXAMINER	am Harris	DATE CONSIDERED	6/30/2004
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	AN						Yes No
	AO						Yes No
	AP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)			
amb	AR	14	NCBI Entrez, GenBank Report, Accession No. AA502178, from NCI-CGAP, entry created 1997.
J	AS	14	NCBI Entrez, GenBank Report, Accession No. AA285089, from Hillier, L., et al., entry created 1997.
	AT	14	NCBI Entrez, GenBank Report, Accession No. AA284919, from Hillier, L., et al., entry created 1997.

EXAMINER	amb/Hass	DATE CONSIDERED	6/30/2004
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	AO						Yes No
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OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

amh	AR	15	NCBI Entrez, GenBank Report, Accession No. AA308370, from Adams, M.D., et al., entry created 1997.				
↓	AS	15	NCBI Entrez, GenBank Report, Accession No. AA313422, from Adams, M.D., et al., entry created 1997.				
	AT	15	NCBI Entrez, GenBank Report, Accession No. AA337071, from Adams, M.D., et al., entry created 1997.				
EXAMINER <i>Am Harris</i>				DATE CONSIDERED <i>6/30/2004</i>			

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	AO						Yes No
	AP						Yes No

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amh	AR	16	NCBI Entrez, GenBank Report, Accession No. AA375119, from Adams, M.D., et al., entry created 1997.
	AS	16	NCBI Entrez, GenBank Report, Accession No. AA375286, from Adams, M.D., et al., entry created 1997.
	AT	16	NCBI Entrez, GenBank Report, Accession No. AA393628, from Hillier, L., et al., entry created 1997.

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DATE CONSIDERED

6/20/2014

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FORM PTO-1449

INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.
1821.0040001/EKS/TJSAPPLICATION NO.
09/824,787APPLICANT
Zauderer et al.FILING DATE
April 4, 2001GROUP
1642

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
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FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

<i>amb</i>	AR	17	NCBI Entrez, GenBank Report, Accession No. AA568537, from NCI-CGAP, entry created 1997.				
<i>J</i>	AS	17	NCBI Entrez, GenBank Report, Accession No. AA704668, from Hillier, L., et al., entry created 1997.				
<i>V</i>	AT	17	NCBI Entrez, GenBank Report, Accession No. AA707623, from NCI-CGAP, entry created 1997.				
EXAMINER	<i>amb</i>				DATE CONSIDERED 6/30/2004		

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FORM PTO-1449
INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.
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APPLICATION NO.
 09/824,787

APPLICANT
 Zauderer et al.

FILING DATE
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GROUP
 1642

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	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

amb	AR	18	NCBI Entrez, GenBank Report, Accession No. AA813244, from NCI-CGAP, entry created 1998.				
↓	AS	18	NCBI Entrez, GenBank Report, Accession No. AA911823, from NCI-CGAP, entry created 1998.				
↓	AT	18	NCBI Entrez, GenBank Report, Accession No. AA830592, from NCI-CGAP, entry created 1998.				

EXAMINER *amb/harris*

DATE CONSIDERED *6/28/2004*

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		APPLICANT Zauderer et al.			
		FILING DATE April 4, 2001		GROUP 1642	

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	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)			
amh	AR	19	NCBI Entrez, GenBank Report, Accession No. AA872671, from NCI-CGAP, entry created 1998.
AS	19	NCBI Entrez, GenBank Report, Accession No. AA935328, from NCI-CGAP, entry created 1998.	
AT	19	NCBI Entrez, GenBank Report, Accession No. AA971857, from NCI-CGAP, entry created 1998.	

EXAMINER <i>amh</i>	DATE CONSIDERED <i>6/30/2004</i>
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				APPLICANT Zauderer et al.			
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	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)			
<i>amb</i> 	AR AS AT	20 20 20	NCBI Entrez, GenBank Report, Accession No. AA971638, from NCI-CGAP, entry created 1998. NCBI Entrez, GenBank Report, Accession No. AI025384, from NCI-CGAP, entry created 1998. NCBI Entrez, GenBank Report, Accession No. AI051009, from NCI-CGAP, entry created 1998.

EXAMINER <i>amb Harris</i>	DATE CONSIDERED <i>6/28/2004</i>
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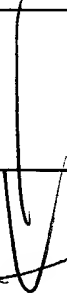


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FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)			
	AR	21	NCBI Entrez, GenBank Report, Accession No. AI041967, from NCI-CGAP, entry created 1998.
	AS	21	NCBI Entrez, GenBank Report, Accession No. AI083674, from NCI-CGAP, entry created 1998.
	AT	21	NCBI Entrez, GenBank Report, Accession No. AI199227, from NCI/NINDS-CGAP, entry created 1998.
EXAMINER			DATE CONSIDERED 6/30/2004

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	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

amb	AR	22	NCBI Entrez, GenBank Report, Accession No. AI285284, from NCI-CGAP, entry created 1998.				
↓	AS	22	NCBI Entrez, GenBank Report, Accession No. AI288765, from NCI-CGAP, entry created 1998.				
↓	AT	22	NCBI Entrez, GenBank Report, Accession No. AI336555, from NCI-CGAP, entry created 1998.				

EXAMINER

amb

DATE CONSIDERED

6/30/2004

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	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

<i>amh</i>	AR	23	NCBI Entrez, GenBank Report, Accession No. AI362693, from NCI/NINDS-CGAP, entry created January 1999.				
<i>[initials]</i>	AS	23	NCBI Entrez, GenBank Report, Accession No. AI360416, from NCI/NINDS-CGAP, entry created January 1999.				
<i>[initials]</i>	AT	23	NCBI Entrez, GenBank Report, Accession No. AI439771, from NCI-CGAP, entry created February 1999.				
EXAMINER		<i>amh</i>			DATE CONSIDERED <i>6/30/2004</i>		

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	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)			
amh	AR	24	NCBI Entrez, GenBank Report, Accession No. AI499727, from NCI/NINDS-CGAP, entry created February 1999.
	AS	24	NCBI Entrez, GenBank Report, Accession No. AI525314, from Huang, G.M., et al., entry created March 1999.
	AT	24	NCBI Entrez, GenBank Report, Accession No. AI672936, from NCI-CGAP, entry created May 1999.

EXAMINER	DATE CONSIDERED
<i>amh</i>	6/28/2004

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	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)			
amh	AR	25	NCBI Entrez, GenBank Report, Accession No. AI800991, from NCI-CGAP, entry created July 1999.
	AS	25	NCBI Entrez, GenBank Report, Accession No. AI914716, from NCI-CGAP, entry created July 1999.
	AT	25	NCBI Entrez, GenBank Report, Accession No. AI934846, from NCI/NINDS-CGAP, entry created August 1999.
EXAMINER	amh		DATE CONSIDERED 6/30/2004

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	AN						Yes No
	AO						Yes No
	AP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)			
amh	AR	26	NCBI Entrez, GenBank Report, Accession No. AI937133, from NCI/NINDS-CGAP, entry created August 1999.
	AS	26	NCBI Entrez, GenBank Report, Accession No. AW006797, from NCI-CGAP, entry created September 1999.
	AT	26	NCBI Entrez, GenBank Report, Accession No. AW327450, from NIH-MGC, entry created November 1999.
EXAMINER	mm Harris		DATE CONSIDERED 6/30/2004

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	AP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

<i>amb</i>	AR	27	NCBI Entrez, GenBank Report, Accession No. AI909652, from Dias Neto, E., et al., entry created December 1999.
<i>↓</i>	AS	27	NCBI Entrez, GenBank Report, Accession No. AW406075, from NIH-MGC, entry created February 2000.
<i>↓</i>	AT	27	NCBI Entrez, GenBank Report, Accession No. AW406223, from NIH-MGC, entry created February 2000.

EXAMINER <i>AMH/...</i>	DATE CONSIDERED <i>6/30/2004</i>
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	AO						Yes No
	AP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

amh ↓	AR	28	EMBL Entry, Accession No. AW327450, from NIH-MGC, entry created January 2000.
	AS	28	Comparison of C35 DNA sequence with actual sequences for deposited clones corresponding to W57569 (Document AT12) and AA971857 (Document AT19), prepared by inventors of parent application, Zauderer et al.
	AT	28	Sahasrabudhe, D.M., et al., "Shared T Cell-Defined Antigens on Independently Derived Tumors," J. Immunol. 151:6302-6310, The American Association of Immunologists (1993)

EXAMINER <i>amh</i>	DATE CONSIDERED 6/28/2004
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